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B.P.
6-12-02

PATENT
Docket No. 2080-3-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re CIP application of:

Byung-Jin Kim
Kang-Soo Suh

Serial No:

Filed: Herewith

For: APPARATUS FOR CREATING AND MANAGING
NAVIGATION INFORMATION IN REWRITABLE
RECORDING MEDIUM AND METHOD THEREFOR

Anticipated Classification
of this Application:

☐ Class ☐ Subclass

Prior Application

Examiner: L. Pham

Art Unit: 2177



**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.56 and 1.97, enclosed please find a copy of Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

1. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

2. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the national application other than a continued prosecution application (CPA) or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 1.114 whichever event occurs last. 37 C.F.R. § 1.97(b) (as amended September 2000).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311, whichever occurs first.

STATEMENT OR FEE

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. _____. A copy of this sheet is enclosed.)

AND

- ii. ☐: the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$240.00).
4. ☐: The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

STATEMENT, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. § 1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. § 1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in § 1.17(i). (\$130.00).

STATEMENT

(Required if 3Ai or 4 above is marked)

5. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).


6. If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure

consideration of the information disclosure statement for the above-identified application to
Deposit Account No. _____. A copy of this petition is enclosed.

Respectfully submitted,

Lee & Hong

Date: May 21, 2001

By: 
Jonathan Y. Kang
Registration No. 38,199
Attorney for Applicant(s)

221 N. Figueroa Street, 11th Floor
Los Angeles, California 90012-2601
Telephone: 213-250-7780
Facsimile: 213-250-8150

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| FORM PTO-1449 | | | Docket Number (Optional) 2080-3-17 | | Application Number N/A | |
|---|-----------------|------------|--|-------|-------------------------------|-------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | | Applicant Byung-Jin Kim; Kang-Soo Suh | | | |
| (Use several sheets if necessary) | | | Filing Date Herewith | | Parent Group Art Unit 2177 | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | 5,930,450 | 7/27/1999 | Fujita | 386 | 95 | |
| | 6,122,436 | 9/19/2000 | Okada et al. | 386 | 126 | |
| | 5,963,704 | 10/5/1999 | Mimura et al. | 386 | 95 | |
| | 5,619,338 | 4/8/1997 | Nakai et al | 386 | 70 | |
| | 6,125,232 | 9/26/2000 | Taira et al | 386 | 95 | |
| | 6,108,281 | 8/22/2000 | Tozaki et al | 369 | 32 | |
| | 6,078,727 | 6/20/2000 | Saeki et al. | 386 | 125 | |
| | 5,793,872 | 8/11/1998 | Hirayama et al. | 386 | 95 | |
| | 5,764,846 | 6/9/1998 | Hirayama et al. | 386 | 92 | |
| | 6,160,952 | 12/12/2000 | Mimura et al. | 386 | 95 | |
| | 5,956,457 | 9/21/1999 | Hirayama et al. | 386 | 92 | |
| | 5,778,142 | 7/7/1998 | Taira et al. | 386 | 97 | |
| | 5,596,564 | 1/21/1997 | Fukushima et al. | 369 | 275.3 | |
| | 6,067,400 | 5/23/2000 | Saeki et al. | 386 | 95 | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
| | 9213013 | 8/15/1997 | Japan | 20 | 12 | YES |
| | 7334977 | 12/22/1995 | Japan | 27 | 10 | YES |
| | | | | | | |
| | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| | | | | | | |
| EXAMINER | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |